

3763

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

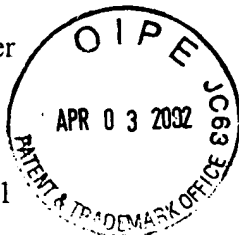
Applicant: Matthew Heidner

Serial No.: 10/032,962

Filed: October 24, 2001

For: DISTAL BALLOON WAIST MATERIALS RELIEF AND METHOD OF
MANUFACTURE

Docket No.: 1001.1479101



Examiner: Unknown

Group Art Unit: 3763

TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

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CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 20th day of March, 2002.

By

Robert E. Atkinson

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X40=	\$	X80=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

[] A check in the amount of \$ _____ is enclosed. Itemization:

Fee Code _____ \$

Fee Code _____ \$

[] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.

[XX] Other: SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND CITED REFERENCES.

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: _____

Robert E. Atkinson

Reg. No. 36,433

Robert E. Atkinson
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, Minnesota 55401-2246
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Matthew Heidner

Serial No.: 10/032,962

Examiner: Unknown

Filed: October 24, 2001

Group Art Unit: 3763

For: DISTAL BALLOON WAIST MATERIALS RELIEF AND METHOD OF
MANUFACTURE

Docket No.: ~1001.1479101



SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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By

Robert E. Atkinson

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Matthew Heidner

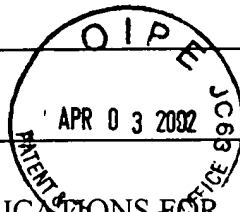
By his attorney,

Dated: 3/29/02

Robert E. Atkinson, Reg. No. 36,433
CROMPTON, SEAGER & TUFTE, LLC
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FORM PTO-1449

Atty. Docket No.:
1001.1479101Serial No.:
10/032,962LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Matthew Heidner

Filing Date

Group Art:

October 24, 2001

3763

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	5,256,145	10/26/1993	Atkinson et al.	

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FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.